

## Tufts Clinical and Translational Science Institute

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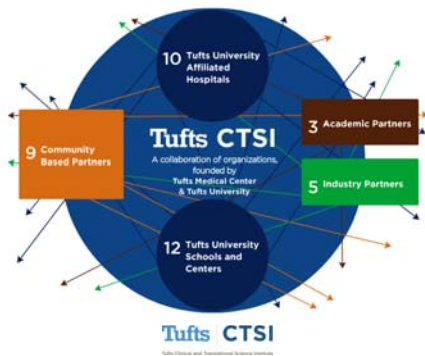
## Tufts CTSI's Mission & Purpose



- Tufts CTSI is based on the conviction that *authentic involvement of the entire spectrum of clinical and translational research is critical to meeting the promise and the public's needs of biomedical science.*
- Our mission is to identify, stimulate, and expedite innovative clinical and translational research, with the goal of improving the public's health.

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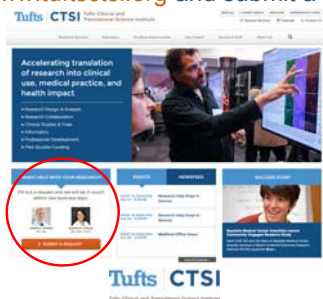


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## Evaluating Scientific Journal Articles

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## Learning Objectives

- List the questions you should ask yourself when evaluating a scientific journal article
- Identify the specific, testable hypothesis of the paper
- Identify what type of study design was used
- Evaluate whether the results of the study were affected by bias
- Explain why this study was important, what it added to the literature, or how it changed health practice
- Appraise the compatibility of the conclusions of the study with the study objectives

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## Evaluation of a Scientific Article

Cherkin DC, Sherman KJ, Balderson BH, Cook AJ, Anderson ML, Hawkes RJ, Hansen KE, Turner JA. **“Effect of Mindfulness-Based Stress Reduction vs Cognitive Behavioral Therapy or Usual Care on Back Pain and Functional Limitations in Adults with Chronic Low Back Pain: A Randomized Clinical Trial.”** *JAMA* 315(12): 1240-1249, 2016.

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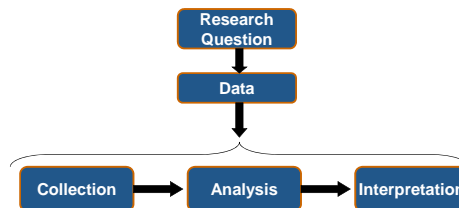
## Key Sections of a Journal Article

1. Abstract
2. Introduction/Background
3. Methods
4. Results
5. Discussion
6. Conclusions
7. References

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## Introduction to Evaluating Articles

- In most articles, the authors tell a story based on **data** that they have **collected**, **analyzed**, and **interpreted**
- The reader should evaluate each of these phases to decide whether to trust the story
- It's also important to understand **why** the study was done



## Overall Issues in Evaluation

### Big picture:

- Strength of the body of literature
- Plausibility of biological/health mechanism
- Effect size and number of people
- Quality of study ⇒ ⇒ ⇒

### Quality methodological details:

- Appropriate hypothesis
- Study design
- Data quality
- Plausible effect estimate or concern about biases
- Generalizability

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## Where Studies “Fail”

- Inadequate study design
  - Biased sample and results
  - Uncontrolled confounding
  - Study sample too small

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## Where Studies “Fail”

- Lack of generalizability
  - Single center
  - Subject recruitment & retention
  - Inclusion/exclusion criteria
- Misinterpretation of results
  - Conclusions don't match results

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## Following the Story Part 1 Context / Motivation



Introduction/  
Background

What was the motivation for doing this study?

Did the authors conduct this study to:

- Generate descriptive or pilot data or new hypotheses?
- Test a formulated hypothesis?
- Replicate or validate previous findings?

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## Motivation

- Evaluate 2 specific hypotheses:
  - Adults with chronic lower back pain (CLBP) treated with Mindfulness-Based Stress Reduction (MBSR) would show greater short- and long-term improvement than adults randomized to usual care
  - Adults with CLBP treated with MBSR would show greater short- and long-term improvement than adults randomized to Cognitive Behavioral Therapy (CBT)

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## Why Was the Study Important?

What information already exists about this topic?

- Functional status of people with CLBP has decreased over time, despite numerous treatment options and resources
- Psychosocial factors are a component of pain
- CBT is known to be effective for a variety of types of chronic pain, but limited access
- MBSR, another mind-body component, is becoming increasingly available
- MBSR is “helpful” for chronic pain

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## Why Was the Study Important?

What were the gaps in the literature that this study sought to help fill?

- Is MBSR effective in treating CLBP?
- Is MBSR more effective than CBT?

What other factors make this an important study?

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## Does the Paper Present a Clear Research Question or Objective and a Specific, Testable Hypothesis?

Study objective

“To evaluate the effectiveness for chronic low back pain of MBSR vs cognitive behavioral therapy or usual care.”

Testable hypothesis?

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## Examples of Hypotheses

- 1) MBSR is more effective than CBT in treating CLBP.
- 2) MBSR is more effective than CBT in reducing back pain.
- 3) MBSR is more effective than CBT in reducing back pain over {pre-specified time frame} using {pre-specified instrument}

## Following the Story Part 2 Design & Data Collection



Methods

### How was the study conducted?

- Inclusion and exclusion criteria
- Recruitment of participants
- Study design
- Definition of outcomes
- Administration of intervention

## Following the Story Part 2 Design & Data Collection

### Subjects

- What was the **source population** for recruiting subjects and were study subjects representative of this population?
  - Explanation of subject selection process
  - Generalizability

## Inclusion and Exclusion

- 20-70 year olds
- Non-specific CLBP for at least 3 months
- No compensation or litigation issues
- English speaking
- Able to attend classes
- Adequate pain, based on bothersomeness and pain interference questionnaires

## Recruitment

- Group Health members
  - Eligibility based on medical record
  - Invited to participate via mail
- Community
  - Random sample of participants
  - Invited to participate via mail

## Who Were the Study Subjects?

- What was the source population from which study subjects were recruited?
- Was the subject selection process clearly explained?
- How representative was the sample?

## Following the Story Part 2 Design & Data Collection



### Study Designs

- **Randomized Controlled Trial (RCT)**
- **Observational Studies:**
  - Cohort – selection based on exposure (smoking status)
  - Case-Control – selection based on disease/outcome (lung disease)
  - Cross-sectional – one snapshot in time
- **Retrospective** – exposure collected after disease
- **Prospective** – exposure collected before disease

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## Design & Data Collection

What type of study design was used?

- **Randomized Controlled Trial**

Is this design susceptible to any types of bias?

Were potential sources of bias identified and addressed when designing the study?

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## Following the Story Part 2 Data Collection

### Variables

Were **independent** and **dependent variables** clearly defined and accurately measured?

- Potential for misclassification
- Validation of exposure/outcome status
- Properties of measurement methods

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## Definition of Outcomes

- **Functional limitation related to CLBP**
  - Roland Disability Questionnaire (validated)
  - One item removed
  - Asked about past week rather than only today
- **Back pain bothersomeness (0-10 scale)**

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## Definition of Outcomes

- Primary analysis: % of people with clinically meaningful improvement ( $\geq 30\%$  improvement from baseline)

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## Intervention: CBT

- 2 hour weekly group session for 8 weeks
  - Chronic pain education
  - Changing dysfunctional thoughts
- Workbooks & CDs
- Instructions for home practice: Relaxation and imagery

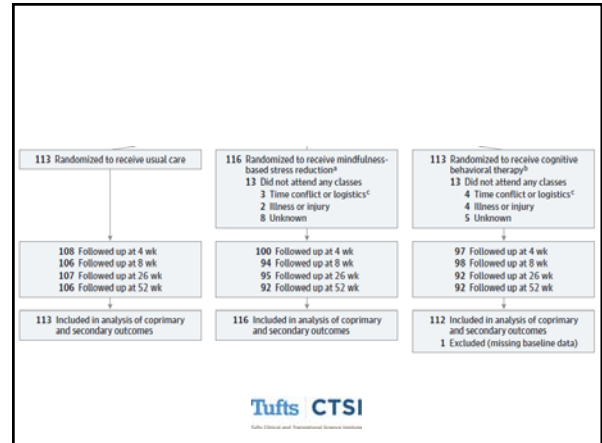
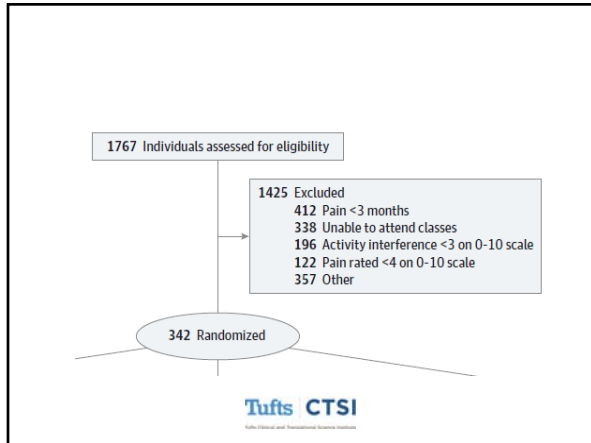
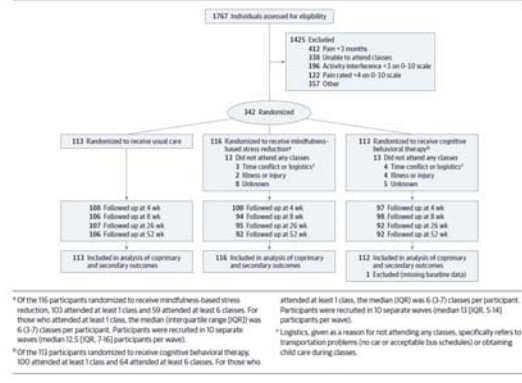
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## Intervention: MBSR

- 2 hour weekly group session for 8 weeks
  - Didactic content
  - Mindfulness practice
- Workbooks & CDs
- Optional 6 hour retreat
- Instructions for home practice: Mindfulness, meditation and yoga

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Figure. Flow of Participants Through Trial Comparing Mindfulness-Based Stress Reduction With Cognitive Behavioral Therapy and Usual Care for Chronic Low Back Pain



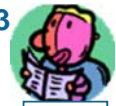
## Following the Story Part 2 Design & Data Collection

### Subjects

- Were a significant number of subjects **lost to follow-up?**
  - Differential between groups (26 weeks)
    - 5% usual care, 18% MBSR, 19% CBT
    - 13 participants each in MBSR & CBT did not attend any classes
  - How missing data were handled
    - imputation
  - Intent to treat Analysis

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## Following the Story Part 3 Statistical Methods



### Was a Sample Size/Power Calculation Performed Before Beginning the Study?

- Are all calculation parameters reported so that the calculation could be duplicated?
- Outcome: proportion of participants experiencing meaningful improvement
  - 90% power to detect 25% difference in MBSR (55%) vs. usual care (30%)
  - 80% power to detect 21% difference in MBSR (76%) vs. CBT (55%)

### Was the sample adequately powered?

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## Following the Story Part 4 Reporting and Interpretation of Data



Results  
Conclusion  
Discussion

Did the authors present and compare the characteristics of the 3 study groups?

- This information is provided in Table 1
- Are there any clinically meaningful differences in Table 1?
  - More women in usual care (77% vs ~60%)
  - Fewer college grads in MBSR (52% vs ~61%)
- Should these affect our analysis?

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## How Strong Were the Study's Results?

- Did the investigators find any statistically significant results?
  - Roland Disability: at least 2 of the 3 groups differed significantly ( $p=0.04$ )
  - Pain Bothersome: at least 2 of the 3 groups differed significantly ( $p=0.01$ )
  - At 26 weeks, adjusted for age, sex, education, baseline score and pain duration
- How likely is it that results were due to chance or bias?

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## Primary Outcome (%)

Follow-up Week	Usual Care	Mindfulness-Based Stress Reduction	Cognitive Behavioral Therapy	P Value for Omnibus <sup>c</sup>
<b>Roland Disability Questionnaire Results</b>				
4	27.3 (20.3-36.6)	34.5 (26.8-44.3)	24.7 (18.1-33.8)	.23
8	35.4 (27.6-45.2)	47.4 (38.9-57.6)	51.9 (43.6-61.7)	.04 <sup>d</sup>
26	44.1 (35.9-54.2)	60.5 (52.0-70.3)	57.7 (49.2-67.6)	.04 <sup>d</sup>
52	48.6 (40.3-58.6)	68.6 (60.3-78.1)	58.8 (50.6-68.4)	.01 <sup>d</sup>
<b>Pain Bothersomeness Results</b>				
4	20.6 (14.6-28.9)	19.1 (13.3-27.4)	21.7 (15.3-30.6)	.88
8	24.7 (18.1-33.6)	36.1 (28.3-46.0)	33.8 (26.5-43.2)	.15
26	26.6 (19.8-35.9)	43.6 (35.6-53.3)	44.9 (36.7-55.1)	.01 <sup>d</sup>
52	31.0 (23.8-40.3)	48.5 (40.3-58.3)	39.6 (31.7-49.5)	.02 <sup>d</sup>

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## Chance & Bias

- More than 40 p-values presented in the tables
- Additional tests performed when one of these p-values < 0.05
  - Are results due to chance?
- Only 50-60% of participants randomized to MBSR & CBT completed at least 6 classes
  - Are results due to bias?

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## Limitations and Generalizability

Do the authors adequately address the study's limitations and their implications?

- Highly educated & enrolled in a single health care system
- ~20% loss to followup

Who do the results of this study apply to?

"the generalizability of findings to other settings and populations is unknown"

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## Are the Conclusions Reasonable Based on the Study's Aims and Results?

"Among adults with chronic low back pain, treatment with MBSR or CBT, compared with usual care, resulted in greater improvement in back pain and functional limitations at 26 weeks, with no significant differences in outcomes between MBSR and CBT."

Is this conclusion compatible with the original study objective?

Do the results of the study justify the conclusions?

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## Are the Conclusions Reasonable Based on the Study's Aims and Results?

"These findings suggest that MBSR may be an effective treatment option for patients with chronic low back pain."

**What do you think about this?**

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## Superiority vs non-inferiority

How are these hypotheses different?

What is each testing?

MBSR is more effective than CBT in reducing back pain.

MBSR is non-inferior to CBT in reducing back pain

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## Learning from What's *Not* There...

- If an article doesn't mention a particular issue (e.g. blinding, randomization), it's usually safe to assume that the study did not address that issue
- All studies have limitations. If none are mentioned, it probably means that issues with the study were not adequately addressed.



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## Overall Issues in Evaluation

### Big picture:

- Quality of study
  - Appropriate hypothesis
  - Study design
  - Data quality
  - Plausible effect estimate or concern about biases
  - Generalizability
- Effect size and number of people
- Plausibility of biological/health mechanism
- Strength of the body of literature (on specific and larger related research questions)

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## Questions to ask yourself...

- What is the big picture?
  - Why is study important?
  - Plausible effect?
- Is story believable?
  - Any concerns about quality?
- All the important details:
  - Appropriate & specific hypothesis?
  - Design, subject selection, choice of variables, data quality, appropriate analysis, biases, etc.

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## Questions?

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**Thank you!**

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